,QIRUP DWLRQ

* ($YLDWLRQ /RJR) LOHV

1 R) LOHV

6XSSOHU
(/(0(17&/(9(/$1'

6XSSOHU&RGH
7

,VVXH' DWH
) HE

6WDWH&RGH&RXQWU\
(6&+$$) 5'

&LW&RXQW\
&/(9(/$1'

6WDWH
2+

&RXQWU\
8 6$

&RXQWU\&RGH
. HDUDQV &QGLHZ 1 % ; 7

6SHFLDO&RPPHQWV

ó &RQWDFW&RPSDQ\

6HOHFWHG i 2 WHUWLRQ QHZ HWW RI

3URFHVV &RGH
$,

1 DP H RI 3URFHVV
,QGHSHQGHQWDQG,QWHUQDWLRQDO HWXOOF0 DW7 DE

&DWHJRU\

6SHFLëFDWLRQ &SSURYDO7
6 $, $ $, %$, & $,) $,) $, * $, * $, * $, * $, / $, / $, / $, / $, 0 $, 1 $, 5 $, / IRU0 HWXOXUJLFDOHYDOXDWLRQ RI FRUURVLRQ WHWW 6DQ6SUD\ 7HWWQJ VR $670 % QRW
SHUFRUP HG $,; * $,; / $, 2 $, 3 $,; $ $670 % 7DEHU$ EUDWLRQ7HWW,62 P XWEHP DLQWDLQHG 5(48,5(' 72 3$57,&,3$7(118//<,17+(*(/&3352*5$0 72 0$,17$,1 6
&20 3/,$1&(

RI

5HP DUNV&HFWLRQ

5HP DUNV
Ø

7KLV LV VR FHUWL\ WKDWD UHYLHZ KDV EHHQ FRP SOHWHG RI \RXUWFKQLFDOUHVRXUFHV DQG TXDOLW\ V\ WWP FRQWRO XVHG IRUWKH DERYH QDP HG SURFHWV HV DQG IRXQG WKHP WR EH FDSDEOH RI VDWLV\LQJ WKH UHTXLUHP HQW RI WKH DERYH VSHFLëFDWLRQ VXEWFFWLR WKH OP LWDWLRQ LQ * ($YLDWLRQ 4 XDOW6SHFLëFDWLRQ 6 IRU&DWUJRU , RU,, 6XSSOHU7KLV D GRHV QRWLQ LWHO FRQWXXW D Z DLYHUI VSHFLëFDWLRQ UHTXLUHP HQW DV WR LQVSHFWLRQ WWWLQJ
P DLQWQDQFH RI UHFRUGV DQG RURWKHU SURYLWLRQV RI WKH 3XUFKDVH 2 UGHU 6XE &RQWDFWRU GRHV LWJ XDUDQWH WKH DFFHSWDQFH RI DUWFOH SURGXFHG IRUWKH * HQHUDO(OHFWUF &RP SDQ\ 5HTXLUHP HQW IRUWKH VXEP LWWLRQ DQG
TXDOëFDWLRQ RI LQGLYLGXDOS DUW VDP SOHV RURWKHUWLWP V DV FRQWDLQHG LQ VSHFLëFDWLRQV HQJ LQHHULQJ GUDZ LQJ V RU3XUFKDVH 2 UGHUZ LOOEH FRP SOHWHG DV RXWDQHG ,QVSHFWLRQ RI WKH I DFLOWLHV I RUZ KLFK WKLV FHUWLëFDWLRQ LV J TK W